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Date December 17, 2003

Number of pages (including cover): 19

F A X C O V E R

To PTO Central Fax

Company: United States Patent and Trademark Office

Serial No.: 09/917,294

Filed: July 26, 2001

Title: LIGHTING CONTROL USING SPEECH RECOGNITION

Conf. No.: 8108

Fax: 703-872-9306

From Joseph Teja, Jr.

Direct dial 617-395-7017

Our File # C1104/7075

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Jeanne W. Chub

Transmitted herewith are the following documents:

- Supplemental Preliminary Amendment
- Copy of Preliminary Amendment Filed November 23, 2001 with Auto-Reply Facsimile Transmission Report
- Status Inquiry

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ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Conf. No: 8108
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION
Examiner: Unassigned
Art Unit: 2632

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

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Jeanne W. Chub

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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- ☒ Copy of Preliminary Amendment Filed November 23, 2001 with Auto-Reply Facsimile Transmission Report
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No check is enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,

Kevin J. Dowling, et al.

By: 

Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
One Main Street, Suite 1100
Cambridge, Massachusetts 02142
Telephone: 617-395-7000

December 17, 2003

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Page 081

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F A X C O V E R

Date: November 23, 2001 Number of pages (including cover): 9
To: Technology Center 2600, Customer Service Center
Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/017,284
Filing Date: July 20, 2001
Title: LUTHERING CONTROL USING SPEECH RECOGNITION
Fax Number: 1-703-672-8313
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F A X C O V E R

Date: November 23, 2001 Number of pages (including cover): 9
To: Technology Center 2600, Customer Service Center
Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Filing Date: July 26, 2001
Title: LIGHTING CONTROL USING SPEECH RECOGNITION
Fax Number: 1-703-872-9313
Number of Pages (including Cover Sheet): 9

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**F A X C O V E R**

Date November 23, 2001 **Number of pages (including cover):** 9
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Applicant Kevin J. Dowling and George G. Mueller
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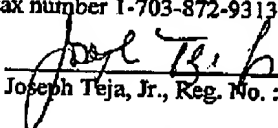
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION

Examiner: Unassigned
Art Unit: 2632

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Joseph Teja, Jr., Reg. No.: 45,157

Commissioner For Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

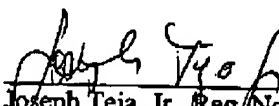
Transmitted herewith is/are the following document(s):

- ☒ Preliminary Amendment
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No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
Kevin J. Dowling and George G. Mueller,
Applicant(s)


Joseph Teja, Jr., Reg. No.: 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Attorneys for Applicant(s)

Docket No. C01104/70075
Date: November 23, 2001
X11/26/01

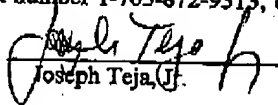
ATTORNEY'S DOCKET NO: C01104/70075**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kevin J. Dowling and George G. Mueller
Serial No: 09/917,294
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION

Examiner: Unassigned
Art Unit: 2632

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Joseph Teja, Jr.

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please enter the following amendments in the above-identified application:

IN THE SPECIFICATION

Please replace the paragraph beginning on line 2 of page 2 with the following paragraphs as indicated below. A marked-up version of these paragraphs with all changes made by the current amendment shown using bolded bracketing and underlining is attached hereto as the pages captioned **"Version With Markings to Show Changes Made"**.

- Related Applications

This Patent Application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application Serial No. 60/221,363, filed July 27, 2000, entitled "Lighting Control Using Speech Recognition."

577303.1

Serial No. 09/917,294

- 2 -

Art Unit: 2692

COPY

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of co-pending U.S. Non-provisional Application Serial No. 09/669,121, filed September 25, 2000, entitled "Multicolored LED Lighting Method and Apparatus", which is a continuation of U.S. Serial No. 09/425,770, filed October 22, 1999, now Patent No. 6,150,774, which is a continuation of U.S. Serial No. 08/920,156, filed August 26, 1997, now Patent No. 6,016,038.

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of the following co-pending U.S. Non-provisional Applications:

Serial No. 09/215,624, filed December 17, 1998, entitled "Smart Light Bulb";

Serial No. 09/213,607, filed December 17, 1998, entitled "Systems and Methods for Sensor-Responsive Illumination";

Serial No. 09/213,189, filed December 17, 1998, entitled "Precision Illumination";

Serial No. 09/213,581, filed December 17, 1998, entitled "Kinetic Illumination";

Serial No. 09/213,540, filed December 17, 1998, entitled "Data Delivery Track";

Serial No. 09/333,739, filed June 15, 1999, entitled "Diffuse Illumination Systems and Methods";

Serial No. 09/742,017, filed December 20, 2000, entitled "Lighting Entertainment System", which is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496;

Serial No. 09/815,418, filed March 22, 2001, entitled "Lighting Entertainment System", which also is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496; and

Serial No. 09/626,905, filed July 27, 2000, entitled "Lighting Components", which is a continuation of U.S. Serial No. 09/213,659, filed December 17, 1998, now Patent No. 6,211,626.

This application also claims the benefit under 35 U.S.C. §120 of each of the following U.S. Provisional Applications, as at least one of the above-identified co-pending U.S. Non-provisional Applications similarly is entitled to the benefit of at least one of the following Provisional Applications:

Serial No. 60/071,281, filed December 17, 1997, entitled "Digitally Controlled Light Emitting Diodes Systems and Methods";

577303.1

Serial No. 09/917,294

- 3 -

Art Unit: 2652

COPY

Serial No. 60/068,792, filed December 24, 1997, entitled "Multi-Color Intelligent Lighting";

Serial No. 60/078,861, filed March 20, 1998, entitled "Digital Lighting Systems";

Serial No. 60/079,285, filed March 25, 1998, entitled "System and Method for Controlled Illumination"; and

Serial No. 60/090,920, filed June 26, 1998, entitled "Methods for Software Driven Generation of Multiple Simultaneous High Speed Pulse Width Modulated Signals".

Each of the foregoing applications is hereby incorporated herein by reference. --

Serial No. 09/917,294

- 4 -

Art Unit: 2632

COPY

REMARKS

In this Preliminary Amendment, the specification has been amended to correct the priority benefits under 35 U.S.C. §119(e), and 35 U.S.C. §120, listed as cross-references to related applications. No new matter is added. Pursuant to MPEP §201.11 and 37 C.F.R. §1.78(a)(2) and (a)(5), these benefit claims are proper as being made during the pendency of the present application and within four months from the actual filing date of the present application.

An early and favorable action is hereby earnestly solicited.

Respectfully submitted,
Kevin J. Dowling, et al.

By: 

Joseph Teja, Jr. (Reg. No. 45,157)
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500

Docket No. C01104/70075
Dated: November 23, 2001

577303.1

Serial No. 09/917,294

- 5 -

Art Unit: 2632

VERSION WITH MARKINGS TO SHOW CHANGES MADE
IN THE SPECIFICATION

COPY

The paragraph beginning at page 2, line 2, has been amended as follows:

Related Applications

This Patent Application claims the benefit under 35 U.S.C. §119(e) of [priority to] U.S. Provisional Application Serial Number 60/221,363, filed July 27, 2000, entitled "Lighting Control Using Speech Recognition[,," which is incorporated herein by reference]."

This application also claims the benefit under 35 U.S.C. §120 as a continuation-in-part (CIP) of co-pending U.S. Non-provisional Application Serial No. 09/669,121, filed September 25, 2000, entitled "Multicolored LED Lighting Method and Apparatus", which is a continuation of U.S. Serial No. 09/425,770, filed October 22, 1999, now Patent No. 6,150,774, which is a continuation of U.S. Serial No. 08/920,156, filed August 26, 1997, now Patent No. 6,016,038.

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Serial No. 09/917,294

- 6 -

Art Unit: 2632

Serial No. 09/815,418, filed March 22, 2001, entitled "Lighting Entertainment System", which also is a continuation of U.S. Serial No. 09/213,548, filed December 17, 1998, now Patent No. 6,166,496; and

Serial No. 09/626,905, filed July 27, 2000, entitled "Lighting Components", which is a continuation of U.S. Serial No. 09/213,659, filed December 17, 1998, now Patent No. 6,211,626.

This application also claims the benefit under 35 U.S.C. §120 of each of the following U.S. Provisional Applications, as at least one of the above-identified co-pending U.S. Non-provisional Applications similarly is entitled to the benefit of at least one of the following Provisional Applications:

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Each of the foregoing applications is hereby incorporated herein by reference.

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Jeane W. Chub

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATUS INQUIRY

Sir/Madam:

Applicants hereby inquire as to the status of the above-identified patent application that was filed in the United States Patent and Trademark Office on July 26, 2001. To date there has been no Office Action. The last communication from the Patent Office was a Notice of Publication of Application mailed April 18, 2002. Please advise regarding the status of this application.

Respectfully submitted,

Kevin J. Dowling, et al.

By: 

Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
One Main Street
Cambridge, Massachusetts 02142
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Telephone: 617-395-7000
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Dated: December 17, 2003

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